

Argos Capital Partners, LLC  
 FORM CRS – CUSTOMER RELATIONSHIP SUMMARY  
 6/24/2020

<p>Item 1.  <u>Introduction</u></p>	<p>Argos Capital Partners, LLC (“ACAP”) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at <a href="http://Investor.gov/CRS">Investor.gov/CRS</a>, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
<p>Item 2.  <u>Relationships and Services</u></p>	<p><b>What investment services and advice can you provide me?</b>        We offer investment advisory services to retail investors, including financial planning services, an investment policy statement that covers one’s risk tolerance and liquidity needs, ongoing monitoring, due diligence, asset allocation, and additional review of investment portfolios, retirement sufficiency analysis, aggregate investment reporting through an independent third party (Private Client Resources or another appropriate vendor), and biennial or quarterly contact with investment meetings. Our clients are generally, ultra-high net worth individuals and their related trusts, partnerships and charitable accounts.</p> <p><b>Monitoring</b>        We review client accounts at a minimum of every six months and typically quarterly, shortly after the completion of each calendar quarter. Reviews entail a review of client holdings, discussion of any investment recommendations, discussion with the client on their risk tolerance and investment horizon, as well as at a minimum an annual review of the client’s financial statements as prepared by the independent accountants.</p> <p><b>Investment Authority</b>        Any trading authority provided by retail investors to us will be non-discretionary.</p> <p><b>Limited Investment Offering</b>        We do not limit our advice to proprietary products, or a limited menu of products or types of investments. However, certain third-party privately offered investment opportunities may only be available to clients via one of ACAP’s private funds.</p> <p><b>Account Minimums and Other Requirements</b>        Account minimums are negotiated with each Client, the terms of which are outlined in investment advisory agreements.</p> <p><b>Additional information</b> about ACAP services is available on Part 2 of our Form ADV, which is available <a href="#">here</a>.</p> <p><b>Conversation Starters.</b> Ask your financial professional—</p> <ul style="list-style-type: none"> <li>• <b>Given my financial situation, should I choose an investment advisory service? Why or why not?</b></li> <li>• <b>How will you choose investments to recommend to me?</b></li> <li>• <b>What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?</b></li> </ul>
<p>Item 3.  <u>Fees, Costs, Conflicts, and Standard of Conduct</u></p>	<p><b>A. What fees will I pay?</b>        Clients are billed in accordance with terms specified in the Investment Advisory Agreement. We collect a 15 basis point fee calculated on the total investable assets of each client. The valuation used to determine the basis point charged is a mutually agreed to number based on their calendar year end, and approved by the client prior to billing. Certain other (legacy) clients are charged a fee based solely on assets under management ranging from 0.4% to 1.0%. Subject to a client’s approval, clients may also pay a performance fee on certain illiquid investments that is equal to 10% of the realized profits earned from such investments, as defined in the Investment Advisory Agreement, or the governing documents of the applicable ACAP private fund, if the investment is made through such fund.</p> <p>In addition to investment advisory and performance fees, clients will incur trading costs and custodial fees (e.g., wire fees, IRA fees). Due to the nature of the brokerage services provided, some commissions charged to the client are consistent with a full service brokerage firm. Investments made through one of ACAP’s private funds will incur a (typically pro rata) share of expenses allocated to such private funds. If and when we invest client assets through third party managers, clients will also bear all or a pro rata share of any management and incentive fees charged by such managers (as well as other expenses associated with such investments).</p>

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	<p>Additional information about our firm’s fees are included in Item 5 of Part 2 of Form ADV, available <a href="#">here</a>.</p> <p><b>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</b></p> <p><b>Conversation Starter.</b> Ask your financial professional—</p> <ul style="list-style-type: none"> <li>• <b>Help me understand how these fees and costs might affect my investments. If I give you \$100,000 to invest, how much will go to fees and costs, and how much will be invested for me?</b></li> </ul>
<p>Item 3.  <u>Fees, Costs, Conflicts, and Standard of Conduct</u></p>	<p><b>B. What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?</b></p> <p><b>When we act as your investment adviser,</b> we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you. Here is an example to help you understand what this means.</p> <ul style="list-style-type: none"> <li>• Performance based fees may create an incentive for us to make investment recommendations that are riskier or more speculative than would be the case in the absence of a performance fee. Further, investment advisers have an inherent conflict of interest to favor accounts that pay more in fees, such as performance based fees. We have adopted and implemented written compliance policies and procedures, codified in our compliance manual, which generally address the above conflicts of interest.</li> </ul> <p><b>Conversation Starter.</b> Ask your financial professional—</p> <ul style="list-style-type: none"> <li>• <b>How might your conflicts of interest affect me, and how will you address them?</b></li> </ul> <p><b>Additional information</b> about conflicts of interest between ACAP and its clients is available on Part 2 of our Form ADV, which is available <a href="#">here</a>.</p> <p><b>How do your financial professionals make money?</b>      Our financial professionals receive a salary and may receive a small bonus relative to their base salary. Bonuses are based in part on individual performance, the performance of the firm and/or on the performance of certain investments. Certain bonuses are discretionary while others are nondiscretionary.</p>
<p>Item 4.  <u>Disciplinary History</u></p>	<p><b>Do you or your financial professionals have legal or disciplinary history?</b>  <b>Yes <input type="checkbox"/></b> <b>No <input checked="" type="checkbox"/></b></p> <p>Visit <a href="http://Investor.gov/CRS">Investor.gov/CRS</a> for a free and simple search tool to research us and our financial professionals.</p> <p><b>Conversation Starter.</b> Ask your financial professional—</p> <ul style="list-style-type: none"> <li>• <b>As a financial professional, do you have any disciplinary history? For what type of conduct?</b></li> </ul>
<p>Item 5.  <u>Additional Information</u></p>	<p><b>Additional information about our services</b> can be found <a href="#">here</a>. If you have any questions about the contents of this brochure or would like to request a copy of this relationship summary, please contact Paul Tice at (314) 898-9875.</p> <p><b>Conversation Starter.</b> Ask your financial professional—</p> <ul style="list-style-type: none"> <li>• <b>Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?</b></li> </ul>